



Docket No. S0002-US02

04-17-02

8AC/\$ #3

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

In re Application of: **Luehmann, et al.**

Serial No.: **10/041898**

Filed: **01/07/2002**

For: **Purified Water Supply System For High
Demand Devices and Applications**

Customer No.: **24994**

Group Art No: **1723**

Examiner: **Not yet assigned**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

I hereby certify that the following documents:

1. **Certificate of Express Mailing (1 page)**
2. **Transmittal Form (1 page)**
3. **Fee Transmittal for FY2002 with Deposit Account No. and a duplicate (2 pages)**
4. **Copy of Notice to File Missing Parts of Nonprovisional Application (1 page)**
5. **Response to Notice to File Missing Parts of Nonprovisional Application (1 page)**
6. **Declaration for Utility or Design Patent Application (5 pages)**
7. **Petition under 35 U.S.C. 116 and 37 C.F.R. 1.47(a) (2 pages)**
8. **Power of Attorney executed by Douglas A. Luehmann (1 page)**
9. **Power of Attorney executed by Stephen M. Mullins (1 page)**
10. **Power of Attorney executed by John D. Bielefeld (1 page)**
11. **Power of Attorney unexecuted by Johnny W. Hannah (1 page)**
12. **Return Card**

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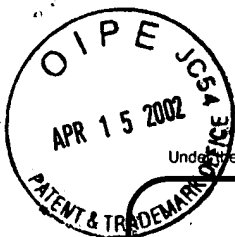
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Box Missing Parts
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Washington, DC 20231

on this date of 04 / 15 / 2002


Yanmin Huang

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Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
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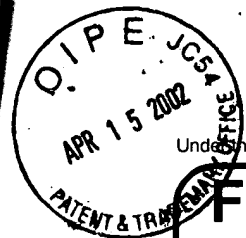
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application	10/041898	
	Filing Date	01/07/2002	
	First Named	Luehmann, et al.	
	Group Art Unit	1723	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	16	Attorney Docket Number	S0002-US02

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Express Mailing, Copy of Notice to File Missing Parts, Declarations, Petition, Powers of Attorney, Return card.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Peter B. Scull, Reg. No. 37,932 (Customer No. 24994)
Signature	
Date	April 15, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: EV 062621574 US			
Typed or printed name	Yanmin Huang	Signature	
		Date	4/15/2002

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PTO/SB/17 (11-01)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$260.00

Complete if Known

Application Number 10/041898

Filing Date 01/07/2002

First Named Inventor Luehmann et al.

Examiner Name Not yet assigned

Group Art Unit 1723

Attorney Docket No. S0002-US02

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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

03-2316

Deposit
Account
Name

Gambro, Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee
to the above identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

101 740 201 370 Utility filing fee

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

SUBTOTAL (1)

2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims Fee from below Fee Paid
Total Claims 20** = 0 X = 0.00
Independent Claims 3** = 0 X = 0.00
Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 ** Reissue independent claims
over original patent

110 18 210 9 ** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2)

\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$260.00

SUBMITTED BY

Name (Print/Type)

Peter B. Scull

Registration No.
(Attorney/Agent)

37932

Complete (if applicable)

Telephone

303-205-2560

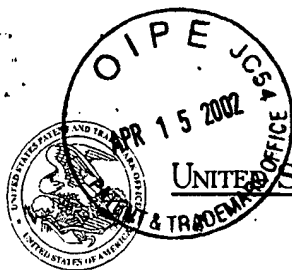
Signature

Date

April 15, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/041,898	01/07/2002	Douglas A. Luehmann	S0002-US02

CONFIRMATION NO. 4305

24994
GAMBRO, INC
PATENT DEPARTMENT
10810 W COLLINS AVE
LAKEWOOD, CO 80215

FORMALITIES LETTER



OC000000007478465

Date Mailed: 02/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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04/19/2002 6TEFFERA 00000012 032316 10041898

01 FC:105 130.00 CH

Docket No. S0002-US02

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PATENT AND TRADEMARK OFFICE



In Application of: **Luehmann, et al.**

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Customer No.: **24994**

Group Art No: **1723**

Examiner: **Not yet assigned**

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION

Sir,

In response to the Notice to File Missing Parts mailed January 2, 2002, I have enclosed three (3) executed Declarations and Powers of Attorney and one (1) unexecuted Declaration and Power of Attorney (accompanied by a Petition under 35 U.S.C. 116 and 37 C.F.R. 1.47(a)). Please charge the \$130.00 surcharge fee and any other fees applicable to the filing of these documents to deposit account no. 03-2316.

Respectfully submitted,

April 15, 2002
Date

Peter B. Scull
Peter B. Scull
Registration No: 37932
Gambro, Inc.
10810 W. Collins Ave.
Lakewood, Colorado 80215
303-205-2560

Attachments